

SOME GEOMETRICAL PROPERTIES OF BANACH SPACES OF POLYNOMIALS

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ABSTRACT

We investigate the asymmetry, gl constants and best factorization estimates of the n -dimensional spaces of polynomials $H_p^n = \text{span}\{e^{ikx}; k = 1, 2, \dots, n\}$ equipped with the L_p norm for $1 \leq p \leq \infty$.

Notations

We use standard notations and terminology of Banach space theory mostly as it appears in [15].

In particular for a Banach space E , E^* is the dual space and for an operator $T: E \rightarrow F$, T^* denotes the adjoint of T . Notations for concepts related to the theory of Banach ideals are taken from [9], which, together with [21], can serve as a general reference on the subject.

The references for the theory of H_p spaces are [4] and [25]; also [18] can be used. Some special notations we use are: \mathbf{T} is the unit circle $\{z; |z| = 1\}$ identified with the interval $[0, 2\pi]$ and equipped with the Lebesgue measure dt . e_k ($k = 0, \pm 1, \pm 2, \dots$) are the functions on \mathbf{T} defined by $e_k(t) = e^{ikt}$. H_p^n ($0 < p \leq \infty$) is the n -dimensional subspace of H_p spanned by $\{e_k; k = 1, \dots, n\}$. In parts of this paper we used for convenience a slightly different isometric version of H_p^n which is given there. Instead of an introduction for the whole paper we open each section with a short description of its contents.

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§1. The asymmetry constants of H_1^n and H_∞^n

It is well known that H_1 has local unconditional structure [17], moreover the Franklin basis forms an unconditional basis [24]. Recently Bourgain and

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Pelczynski proved that H_1^n can be uniformly embedded in H_1 so that its image is also uniformly complemented, hence the local unconditional structure constants of H_1^n are uniformly bounded. However it is still unknown whether H_1^n has a basis with uniformly bounded unconditional constants. We shall prove in this section that the asymmetry constants of H_p^n ($p = 1, \infty$) tend to infinity with n ; this implies that their symmetric basis constants tend to infinity as well.

Recall that if E is an n -dimensional Banach space, the asymmetry constant $s(E)$ is defined to be the least λ for which there exists a group of invertible operators G defined on E whose norms are at most λ and for which the only operators T on E which commute with each $g \in G$ are the scalar multiples of the identity 1_E on E ([6]). We shall denote by dg the normalized Haar measure associated with the compact group G . The main result of this section is:

THEOREM 1.1. *There exist positive constants c_k ($k = 1, 2, 3$) such that for every $n \geq 1$*

- (1) $c_1 s^2(H_1^n) \geq \gamma_1(H_1^n) \geq c_2 \sqrt{\log n}$,
- (2) $c_3 s^2(H_\infty^n) \geq \log n$.

We need the following lemma which is proved independently in [11] and [2].

LEMMA 1.2. *For every $\alpha > 0$ there are $\beta = \beta(\alpha)$, $0 < \beta < 1$, and $\gamma(\alpha) > 0$ such that for every n -dimensional subspace E of $L_1(0, 1)$ with $d(E, l_1^n) \leq \alpha$, E contains a subspace F of dimension m ($\geq \beta n$) so that $d(F, l_m^n) \leq \alpha$ and there is a projection from L_1 onto F with norm $\leq \gamma(\alpha)$.*

The following lemma is an observation due to Lewis and Gordon:

LEMMA 1.3. *Given an m -dimensional Banach space X , let $A \in L(l_p^n, X)$, $B \in L(X, l_p^n)$ be operators for which BA is the identity on l_p^n ($1 \leq p \leq \infty$). Then $\gamma_p(X) \leq mn^{-1} \|A\| \|B\| s^2(X)$.*

PROOF. Let G be the group of operators on X such that $\|g\| \leq s(X)$ for all $g \in G$. Define $\alpha : L_p(l_p^n, G, dg) \rightarrow X$ and $\beta : X \rightarrow L_p(l_p^n, G, dg)$ by

$$\alpha(f) = \int_G g^{-1} A f(g) dg \quad (f \in L_p(l_p^n, G, dg))$$

and

$$(\beta x)(g) = Bgx \quad (x \in X, g \in G).$$

Then, clearly $\|\beta\| \leq \|B\| s(X)$, and

$$\|\alpha(f)\| \leq \int_G \|g^{-1}\| \|A\| \|f(g)\| dg \leq \|A\| s(X) \|f\|$$

so that $\|\alpha\| \leq \|A\|_s(X)$. Moreover, $\alpha\beta = \int_G g^{-1}ABgdg$, which implies that $\alpha\beta$ commutes with all elements of G , hence there exists a scalar λ for which $\alpha\beta = \lambda 1_X$. Therefore,

$$\lambda m = \text{trace}(\alpha\beta) = \int_G \text{trace}(g^{-1}ABg)dg = \int_G \text{trace}(AB)dg = \text{trace}(BA) = n$$

so that $\lambda = n/m$. Since $L_p(I^n, G, dg)$ is an L_p space, this concludes the proof. \square

We now need theorem 7.10, chapter 10, [25] which states

THEOREM 1.4. *Let $P(z) = c_0 + c_1z + \dots + c_nz^n$, then*

$$\left(\frac{1}{n+1} \sum_{k=0}^n |P(e^{i2\pi k/(n+1)})|^p\right)^{1/p} \leq A \left(\int_0^{2\pi} |P(e^{it})|^p dt\right)^{1/p} \quad (1 \leq p \leq \infty),$$

$$\left(\int_0^{2\pi} |P(e^{it})|^p\right)^{1/p} \leq A_p \left(\frac{1}{n+1} \sum_{k=0}^n |P(e^{i2\pi k/(n+1)})|^p\right)^{1/p} \quad (1 < p < \infty),$$

where A, A_p are constants independent of n .

PROOF OF THEOREM 1.1. For convenience we shall prove the theorem for the spaces $H_p^{2(2n+1)} = \text{span}\{e^{ikt}; -2n \leq k \leq 2n+1\}$ ($p = 1, \infty$), and define the polynomials

$$P_0(t) = (2n+1)^{-1} \sum_{k=-n}^n e^{ikt} \quad \text{and} \quad P_k(t) = P_0\left(t - \frac{2\pi k}{2n+1}\right)$$

($k = 0, \pm 1, \dots, \pm n$). It is easy to see that $\int_0^{2\pi} P_k^2(t)dt = 2\pi/(2n+1)$. Let $P(t) = \sum_{k=-n}^n c_k P_k^2(t)$ where c_k are arbitrary scalars. Then $P(t) \in H_1^{2(2n+1)}$ and $\|P\|_{L_1} \leq (1/(2n+1)) \sum |c_k|$.

On the other hand $P_k(2\pi j/(2n+1)) = \delta_{k,j}$, hence $P(2\pi k/(2n+1)) = c_k$, and by Theorem 1.4 applied to the space $H_1^{2(2n+1)}$

$$\begin{aligned} 2\pi A \|P\|_{L_1} &\geq \frac{1}{2(2n+1)} \sum_{k=0}^{2n+1} \left| P\left(\frac{2\pi k}{2(2n+1)}\right) \right| \\ &\geq \frac{1}{2(2n+1)} \sum_{j=0}^{2n} \left| P\left(\frac{2\pi j}{2n+1}\right) \right| = \frac{1}{2(2n+1)} \sum_{j=-n}^n \left| P\left(\frac{2\pi j}{2n+1}\right) \right| \\ &= \frac{1}{2(2n+1)} \sum_{j=-n}^n |c_j|, \end{aligned}$$

therefore $\text{span}\{P_k^2; k = 0, \pm 1, \dots, \pm n\}$ is a subspace of $H_1^{2(2n+1)}$ which is uniformly isomorphic to l_1^{2n+1} . By Lemma 1.2 $H_1^{2(2n+1)}$ contains a subspace of dimension N ($\geq \gamma n$) uniformly isomorphic to l_1^N and uniformly complemented in $L_1[0, 2\pi]$, hence also in $H_1^{2(2n+1)}$. By Lemma 1.3,

$$s^2(H_1^{2(2n+1)}) \cong \frac{cN}{2(2n+1)} \gamma_1(H_1^{2(2n+1)}).$$

It is well known that H_1^n contains $l_2^{[\log n]}$ uniformly complemented (supported on a lacunary set), thus the identity on $l_2^{[\log n]}$ can be factored as

$$l_2^{[\log n]} \xrightarrow{A} H_1^{2(2n+1)} \xrightarrow{B} L_1 \xrightarrow{C} H_1^{2(2n+1)} \xrightarrow{D} l_2^{[\log n]}$$

where $\|A\| \|D\| \leq \text{const.}$, and $\|B\| \|C\| = \gamma_1(H_1^{2(2n+1)})$. By Grothendieck $\pi_1(DC) \leq \|DC\| K_G$, hence

$$\|A\| \|D\| \|B\| \|C\| \geq \|BA\| \|DC\| \geq K_G^{-1} \|BA\| \pi_1(DC) \geq K_G^{-1} \pi_2(l_2^{[\log n]}) \sim \sqrt{\log n}$$

(the last equivalence is by [6]). This concludes the proof of (1).

To prove (2), we can use a simpler argument. First, $H_\infty^{2(2n+1)}$ contains a subspace of dimension $2n+1$ uniformly isomorphic to l_∞^{2n+1} (see [3] or notice that

$$P_k(t) = \frac{(-1)^k \sin\left(n + \frac{1}{2}\right)t}{(2n+1)\sin\left(\frac{t}{2} - \frac{k\pi}{2n+1}\right)},$$

and therefore

$$\|P\|_\infty = \left\| \sum_{k=-n}^n c_k P_k^2 \right\|_\infty \leq \left(\max_k |c_k| \right) \max_t \sum_{k=-n}^n P_k^2(t) \leq c \max_k |c_k|$$

(where c does not depend on n). Conversely,

$$\|P\|_\infty \geq \left| \sum_{k=-n}^n c_k P_k^2\left(\frac{2\pi l}{2n+1}\right) \right| = |c_l| \quad \text{for all } l.$$

Now, by Hahn–Banach l_∞^{2n+1} factors uniformly through $H_\infty^{2(2n+1)}$, therefore we can apply Lemma 1.3 with $p = \infty$ and obtain that $s^2(H_\infty^{2(2n+1)}) \cong c\gamma_\infty(H^{2(2n+1)}) \sim \log n$ (the last equivalence is a well known fact).

ADDED REMARK. We observed that Lemma 1.2 is not needed since $\text{span}\{P_k^2\}$ is naturally complemented in $H_1^{2(2n+1)}$. Moreover, by using another basis one can embed l_1^m in H_1^n , and l_∞^m in H_∞^n uniformly complemented, with $m = \alpha n$, for any $0 < \alpha < 1$, with constants depending only on α .

§2. L_1 -factorization of operators $T : H_\infty^n \rightarrow l_2$

For a Banach space E we define the G.L. constant of E , $gl(E)$, as

$$gl(E) = \sup \left\{ \frac{\gamma_1(T)}{\pi_1(T)} \mid T : E \rightarrow H, H \text{ a Hilbert space} \right\}.$$

We recall that

$$gl(E) \leq \chi(E) \leq \text{u.c.b}(E)$$

where $\chi(E)$ is the (G.L.) l.u.s.t. constant of E and u.c.b the unconditional basis constant of E ([18]). It is known that $gl(H_\infty) = \infty$ (see e.g. [18]). We shall prove here that $gl(H_\infty^n) \rightarrow \infty$ as $n \rightarrow \infty$, namely:

PROPOSITION 2.1. $gl(H_\infty^n) \geq c \sqrt{\log n}$ where c is an absolute positive constant.

Proposition 2.1 is a simple consequence of an inequality of Kwapien-Pelczynski [14]. We shall also give here a different proof of a somewhat stronger inequality from which the result of [14] follows (as Corollary 2.3 (b)). We need some prerequisites.

(a) Let M_n be the subspace of $L_1(\mathbf{T})$ defined by

$$M_n = \{f \in L_1(\mathbf{T}) \mid \hat{f}(k) = 0 \text{ for } 1 \leq k \leq n\}.$$

We identify $(H_\infty^n)^*$ with $L_1(\mathbf{T})/M_n$ by

$$\langle g, [f] \rangle = \frac{1}{2\pi} \int_0^{2\pi} g \bar{f} dt; \quad g \in H_\infty^n, f \in L_1(\mathbf{T})$$

([f] — the equivalence class of f). From Kolmogorov's inequality (cf. [4]) it follows that for every $0 < p < 1$ there is a constant d_p independent of n , such that the operator

$$R_n : L_1(\mathbf{T})/M_n \rightarrow L_p(\mathbf{T})$$

which is defined by

$$R_n [e_k] = e_k \quad (k = 1, \dots, n)$$

satisfies

$$(1) \quad \|R_n\| < d_p.$$

(b) Let E, F be finite dimensional Banach spaces and $T : E \rightarrow F$ a linear operator. Let $J : F \rightarrow L_p(\Omega, \Sigma, \mu)$ ($0 < p < \infty$) be a bounded linear operator. There is a unique (up to equality a.e.) measurable function $\phi : \Omega \rightarrow E^*$ which satisfies for all $x \in E$:

$$(JT_x)(\cdot) = \langle x, \phi(\cdot) \rangle \quad \text{a.e.}$$

From [23] and [13] it follows that

$$(2) \quad \|\phi\|_{L_p(\mu, E^*)} \leq \|J\| \pi_p(T^*).$$

PROPOSITION 2.2. For every $0 < p < 1$ there are $A_p, B_p > 0$ such that for all n , if $\{f_k\}_{k=1}^n$ is a basis for H_x^n , $\{f_k^*\}_{k=1}^n$ are the coefficient functionals of $\{f_k\}$ and $\{g_j\}_{j=1}^m$ is an orthonormal basis of l_2^m then for every $T : H_x^n \rightarrow l_2^m$ which is represented by a matrix $(t_{jk})_{1 \leq j \leq m, 1 \leq k \leq n}$ (i.e., $Tf_k = \sum_{j=1}^m t_{jk}g_j$) holds

$$(3) \quad \left\{ \frac{1}{2\pi} \int_0^{2\pi} \left[\sum_{j=1}^m \left| \sum_{k=1}^n t_{jk} (R_n f_k^*)(t) \right|^2 \right]^{p/2} dt \right\}^{1/p} \leq A_p \pi_p(T) \leq B_p \gamma_1(T).$$

PROOF. Fix $0 < p < 1$. From [14], theorem 91, it follows that there is a constant b_p such that for all $T : H_x^n \rightarrow l_2$

$$(4) \quad \pi_p(T) \leq b_p \gamma_1(T).$$

Let $\phi : T \rightarrow l_2^m$ be the function from (b), relative to $E = l_2^m$, $F = (H_x^n)^* = L_1(\mathbf{T})/M_n$, $(\Omega, \mu) = (\mathbf{T}, dt/2\pi)$ and $J = R_n$ where T^* replaces T in (b).

$$l_2^m \xrightarrow{T^*} L_1(\mathbf{T})/M_n \xrightarrow{R_n} L_p(\mathbf{T})$$

i.e. $(R_n T^* x)(t) = \langle \phi(t), x \rangle$ a.e. for all $x \in l_2^m$. We have, by (2), (1) and (4),

$$(5) \quad \|\phi\|_{L_p(\mathbf{T}, l_2^m)} \leq d_p \pi_p(T) \leq b_p d_p \gamma_1(T).$$

Let $\phi(t) = \sum_{j=1}^m a_j(t)g_j$ be the representation of ϕ in the basis $\{g_j\}$. We have

$$(R_n T^* g_j)(t) = \langle \phi(t), g_j \rangle = a_j(t).$$

On the other hand, representing $(H_x^n)^*$ in the basis $\{f_k^*\}$ we get

$$(R_n T^* g_j)(t) = \sum_{k=1}^n t_{jk} (R_n f_k^*)(t)$$

hence

$$(6) \quad a_j(t) = \sum_{k=1}^n t_{jk} (R_n f_k^*)(t)$$

which, together with (5), yields (3). □

COROLLARY 2.3. Let T be as in Proposition 2.2 with $f_k = e_k$, $f_k^* = [e_k]$, $k = 1, \dots, n$.

(i) Let $0 < p < 1$ and K_0 be a number such that for all $i \leq j \leq m$ holds

$$\left[\sum_{k=1}^n |t_{jk}|^2 \right]^{1/2} \leq K_0 \left\| \sum_{k=1}^n t_{jk} e_k \right\|_{L_p(\mathbf{T})}.$$

Then

$$(a) \quad \nu_1(T) \leq \left[\sum_{j,k} |t_{jk}|^2 \right]^{1/2} \leq K_0 A_p \pi_p(T) \leq K_0 B_p \gamma_1(T).$$

In particular, if $\Lambda = (\lambda_j)_{j=1}^n$ is a multiplier from H_∞^n into l_2^n then

$$(b) \quad \nu_1(\Lambda) \leq \left[\sum_{j=1}^n |\lambda_j|^2 \right]^{1/2} \leq c K_0 B_p \gamma_1(\Lambda)$$

where c is an absolute constant.

(ii) Let $\varepsilon = (\varepsilon_k)_{k=1}^n$, $\varepsilon_k = \pm 1$ and define $T_\varepsilon : H_\infty^n \rightarrow l_2^n$ by the matrix $(\varepsilon_k t_{jk})$. Then

$$(c) \quad \nu_1(T) \leq \left[\sum_{j,k} |t_{jk}|^2 \right]^{1/2} \leq c A v_\varepsilon \gamma_1(T_\varepsilon)$$

where c is an absolute constant.

PROOF. The inequality $\nu_1(T) \leq [\sum_{j,k} |t_{jk}|^2]^{1/2}$ is a simple consequence of the following factorization of T

$$\begin{array}{ccc} H_\infty^n & \xrightarrow{T} & H_2^m \\ I \downarrow & & \downarrow \hat{T} \\ C(\mathbf{T}) & \xrightarrow{J} L_2(\mathbf{T}) \xrightarrow{P} & H_2^m \end{array}$$

Here we identify l_2^m with the subspace H_2^m of H_2 , spanned by $\{e_j\}_{j=1}^m$. I is the inclusion map, J the formal inclusion, P is the natural projection and \hat{T} the operator in H_2^m defined by the matrix (t_{ij}) . By [19] we have

$$\nu_1(T) \leq \pi_2(\hat{T})\pi_2(J) = \text{hs}(\hat{T}) = \left[\sum_{j,k} |t_{jk}|^2 \right]^{1/2}$$

(hs — Hilbert Schmidt norm). For the right hand side inequality in (a) we use (3) and Minkowski's inequality which yields

$$(7) \quad \left\{ \sum_{j=1}^m \left[\frac{1}{2\pi} \int_0^{2\pi} \left| \sum_{k=1}^n t_{jk} e_k(t) \right|^p dt \right]^{2/p} \right\}^{1/2} \leq \left\{ \frac{1}{2\pi} \int_0^{2\pi} \left[\sum_{j=1}^m \left| \sum_{k=1}^n t_{jk} e_k(t) \right|^2 \right]^{p/2} dt \right\}^{1/p}.$$

To prove (c), we replace in (7) (t_{jk}) by $(\varepsilon_k t_{jk})$, average over ε and use the Khintchine-Kahane inequality. □

REMARKS. Inequality (b) was proved in [14] in the infinite dimensional case and the same proof applies to the finite dimensional case.

Proposition 2.2 and Corollary 2.3 can be adapted to the infinite dimensional case. A slight modification of the preceding proof yields the following generalization of a result of [14]. Let $H_1^0 = \{f \in L_1(\mathbb{T}) \mid \hat{f}(n) = 0 \text{ for } n \geq 0\}$, for $[\hat{g}] \in L_1(\mathbb{T})/H_1^0$, $[\hat{g}](n)$ is well defined for all $n \geq 0$ by $[\hat{g}](n) = \hat{g}(n)$. Also, the operator $R : L_1(\mathbb{T})/H_1^0 \rightarrow L_p(\mathbb{T})$ ($0 < p < 1$) is well defined by $R[e_k] = e_k$ ($0 \leq k$) and bounded by a constant K_p .

Let $T : l_2 \rightarrow L_1/H_1^0$ and denote

$$t_{n,k} = \hat{T}(n, k) = (\widehat{Tg_n})(k)$$

($n, k = 0, 1, 2, \dots, g_n$ — u.v.b. in l_2).

PROPOSITION 2.4. (i) For every $0 < p < 1$ there are A_p, B_p such that

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} \left[\sum_n |(RTg_n)(t)|^2 \right]^{p/2} dt \right\}^{1/p} \leq A_p \pi_p(T^*) \leq B_p \gamma_x(T).$$

(ii) Assume that there is a constant c such that for every n ,

$$\lim_{v \rightarrow 1} \left\| \sum_{k=1}^{\infty} t_{nk} v^k e_k \right\|_{L_p(\mathbb{T})} \geq c \left(\sum_k |t_{nk}|^2 \right)^{1/2}$$

(a particular case — when all columns of the matrix (t_{nk}) are supported on Λ_2 sets with uniformly bounded constant), then the following are equivalent:

- (1) T factors through an L_x space.
- (2) T^* is nuclear.
- (3) T^* is 0-absolutely summing.
- (4) $[\sum_{n,k} |t_{nk}|^2]^{1/2} < \infty$.

REMARK. Recently, Kisliakov proved and used in the proof of theorem I of [12] an inequality (lemma I) which is an easy corollary of Proposition 2.4.

We now turn to the

PROOF OF PROPOSITION 2.1. We bring two types of examples of operators

$$T : H_{\infty}^n \rightarrow H_2^n$$

for which

$$(8) \quad \frac{\gamma_1(T)}{\pi_1(T)} \geq c \sqrt{\log n}.$$

EXAMPLE 1. $\Lambda : H_{\infty}^n \rightarrow H_2^n$ is the multiplier $\Lambda = (\lambda_j)_{j=1}^n$, $\lambda_j = j^{-1/2}$ ($j = 1, \dots, n$). Λ has a factorization

$$H_{\infty}^n \xrightarrow{J} H_1^n \xrightarrow{\tilde{\Lambda}} H_2^n$$

where J is the formal identity and $\tilde{\Lambda}$ is the multiplier defined by (λ_j) . We have

$$\pi_1(\Lambda) \cong \|\tilde{\Lambda}\| \leq K \sup_{1 \leq m \leq n} \left[\frac{1}{m} \left(\sum_{j=1}^m j^2 j^{-1} \right)^{1/2} \right] \leq K$$

(cf. [4] theorem 6.7), K independent of n . On the other hand, from Corollary 2.3 (b) we get

$$\gamma_1(\Lambda) \cong \frac{1}{B_p} \left(\sum_{j=1}^n j^{-1} \right)^{1/2} \sim \frac{1}{B_p} \sqrt{\log n}.$$

EXAMPLE 2. $\Lambda : H_{\infty}^{2^n} \rightarrow H_2^{2^n}$ the Paley operator, defined as the multiplier $\Lambda = (\lambda_j)$, $\lambda_j = 1$ for $j = 2^k$ ($k = 0, \dots, n$), $\lambda_j = 0$ for $j \neq 2^k$. By Paley's theorem we get $\pi_1(\Lambda) \cong \|\tilde{\Lambda}\| \leq K$, while by Corollary 2.3

$$\gamma_1(\Lambda) \cong \frac{1}{B_p} \sqrt{n}.$$

The proofs of Theorem 1.1 and Proposition 2.1 can be applied to show

THEOREM 2.5. Let $p = 1, \infty$ and E_p be an m -dimensional space for which $H_p \supseteq E_p \supseteq H_p^n$ (the inclusions here are the natural ones). Then

- (a) $\text{cgl}(E_{\infty}) \cong \sqrt{\log n}$.
- (b) $\text{cs}^2(E_p) \cong m^{-1} n \sqrt{\log n}$ ($p = 1, \infty$).

PROOF. (a) Let $S : H_{\infty} \rightarrow H_2$ be the operator defined by $S(f) = \sum_{k=1}^{\lfloor \log_2 n \rfloor} e_{2^k}(t) \int_0^{2\pi} f(s) \overline{e_{2^k}(s)} ds$. Factoring $S|_{E_{\infty}} : E_{\infty} \xrightarrow{J} E_1 \xrightarrow{S|_{E_1}} H_2$, where J is the identity, shows that

$$\pi_1(S|_{E_{\infty}}) \leq \pi_1(J) \|S|_{E_1}\| = \|S|_{E_1}\| \leq c \quad (\text{a constant}).$$

On the other hand, since $E_{\infty} \supseteq H_{\infty}^n$, by Example 2 above $\gamma_1(S|_{E_{\infty}}) \cong \gamma_1(S|_{H_2^n}) \cong c \sqrt{\log n}$, which proves (a).

(b) The proof for $p = 1$ is identical to that of Theorem 1.1 (1), using the full strength of Lemma 1.2 which implies that E_1 contains $l_1^{\{\gamma n\}}$ uniformly complemented ($0 < \gamma < 1$ independent of n and E_1), and then applying Lemma 1.3 together with the fact that E_1 contains $l_2^{\{\log_2 n\}}$ uniformly complemented and therefore

$$\gamma_1(E_1) \geq c\gamma_1(l_2^{\lfloor \log_2 n \rfloor}) \geq c \sqrt{\log n}.$$

In the case $p = \infty$, we note first that E_x contains $l_x^{\lfloor n/2 \rfloor}$ uniformly complemented, and since L_x is a Banach lattice, by [8] and (a) $\gamma_x(E_x) \geq g_l(E_x) \geq c \sqrt{\log n}$, thus Lemma 1.3 concludes the proof.

REMARKS. (1) We do not know if the estimate of (b) for $p = \infty$ can be improved to $cs^2(E_x) \geq m^{-1}n \log n$ (which is true if $E_x = H_x^n$).

(2) Theorem 2.5 is no longer true if it is only assumed that $E_p \supset H_p^n$ isomorphically ($p = 1, \infty$), because by [25] (ch. X, theorem 7.28) and Theorem 1.4 above l_p^n contains H_p^n uniformly for $p = 1$ and ∞ .

§3. Best factorization estimates for H_p^n spaces

By Theorem 1.4, if we take $\{P_k\}_{k=-n}^n$ to be the basis in H_p^{2n+1} , then $d(H_p^{2n+1}, l_p^{2n+1}) \leq c_p$ if $1 < p < \infty$, and $d(H_p^{2n+1}, l_p^{2n+1}) \leq c \log(n+1)$ if $p = 1$ or ∞ . Since $d(l_p^n, l_q^n)$ is known for all values of p, q [10], it is easy to get trivial estimates for $d(H_p^{2n+1}, H_q^{2n+1})$, which are also asymptotically exact in n when $1 < q < p < \infty$. We shall derive here some better and more general estimates in the non-trivial cases where p or q is in $\{1, \infty\}$.

Given Banach spaces E, F and G , let $\mathcal{F}(E, F, G)$ denote the quantity $\inf \|A\| \|B\| \|C\|$, where the infimum ranges over all $A \in L(E, F), B \in L(F, G), C \in L(G, E)$ for which $CBA = 1_E$. If $F = G$, we write $\mathcal{F}(E, F) = \mathcal{F}(E, F, F)$, and clearly $d(E, F) = \mathcal{F}(E, F)$ if E and F are isomorphic.

If we denote by $P_p^{(n)}$ the natural projection of L_p onto H_p^n , it is well known that $\|P_p^{(n)}\| \leq c_p$ for $1 < p < \infty$ [4], and $\|P_p^{(n)}\| \leq c \log(n+1)$ if $p = 1$ or ∞ , thus $\mathcal{F}(H_p^n, H_p^n) \leq c_p$ if $1 < p < \infty$, and $\mathcal{F}(H_x^n, H_x^n) \leq c \log(n+1)$. Bourgain and Pelczynski recently proved $\mathcal{F}(H_p^n, H_p^n) \leq C_p$ for all $1 \leq p \leq \infty$.

Throughout we denote by c, c_1, c_2 , etc., constants, and by c_p constants which depend on p ; the same letter may denote different constants in some cases.

We start with the following straightforward lemma whose proof is omitted.

LEMMA 3.1. *Let $I_{p,q}^{(n)}: H_p^n \rightarrow H_q^n$ be the natural injection, then $\|I_{p,q}^{(n)}\| \sim \max\{1, n^{1/p-1/q}\}$ for every $p, q \in [1, \infty]$.*

If T is an operator on l_2^n into some Banach space, $l(T)$ will denote $(E_\omega \|\sum_{i=1}^n g_i(\omega)T(e_i)\|^2)^{1/2}$, where $\{g_i(\omega)\}_i^n$ is a sequence of standard independent normalized Gaussian variables, and $\{e_i\}_i^n$ any orthonormal basis for l_2^n (see [1] for details and references).

LEMMA 3.2. *If $1 \leq p < \infty$, then for all $n > 1$*

$$l(I_{2,x}^{(n)}) \sim l(I_{2,p}^{(n)}) \sim \sqrt{n},$$

and

$$l(I_{2,x}^{(n)}) \sim \sqrt{n \log n}.$$

PROOF. For convenience we replace n by $2n + 1$ and denote by $Q_k^{(p)} = \sqrt{2n + 1} P_k$ ($k = 0, \pm 1, \dots, \pm n$) the basis for the space H_p^{2n+1} . Let L_p^{2n+1} be the L_p space of dimension $2n + 1$ with the normalized measure that assigns mass $(2n + 1)^{-1}$ to each basis element $e_k^{(p)}$, $k = 0, \pm 1, \dots, \pm n$.

If $T : H_p^{2n+1} \rightarrow L_p^{2n+1}$ is the basis to basis map $Q_k^{(p)} \rightarrow e_k^{(p)}$, then by Theorem 1.4 both $\|T\|$ and $\|T^{-1}\|$ are uniformly bounded with respect to n for every $1 < p < \infty$. Thus the estimates for $1 < p < \infty$ follow from the same estimates for L_p^{2n+1} which are easy to verify (see e.g. [1]).

If $p = 1$, using the well known properties of the Gaussian variables we have

$$\begin{aligned} l(I_{2,1}^{(n)}) &= \left(\mathbf{E} \left\| \sum_{k=1}^n g_k e^{ikt} \right\|_{H_1^n}^2 \right)^{1/2} \\ &\sim \mathbf{E} \left\| \sum g_k e^{ikt} \right\|_{H_1^n} = \frac{1}{2\pi} \int_0^{2\pi} \left(\mathbf{E} \left| \sum g_k e^{ikt} \right|^2 \right) dt \\ &\sim \frac{1}{2\pi} \int_0^{2\pi} \left(\sum |e^{ikt}|^2 \right)^{\frac{1}{2}} dt = \sqrt{n}. \end{aligned}$$

The case $p = \infty$ needs some additional computations. Since $Q_k = \sqrt{2n + 1} P_k$ ($k = 0, \pm 1, \dots, \pm n$) is an orthonormal basis for $H_{\frac{1}{2}}^{2n+1}$ and the quantities

$$l(I_{2,\infty}^{(2n+1)}) \sim E_\omega \left\| \sum_{k=-n}^n g_k(\omega) e_k \right\|_{H_{\frac{1}{2}}^{2n+1}}$$

are both independent of the choice of the orthonormal basis $\{e_k\}_{k=-n}^n$ in $H_{\frac{1}{2}}^{2n+1}$, therefore it is enough to prove $E_\omega \left\| \sum_{k=-n}^n g_k(\omega) P_k \right\|_\infty \sim \sqrt{\log n}$.

Since $P_k(2\pi j/(2n + 1)) = \delta_{k,j}$, it follows that $E \left\| \sum g_k P_k \right\|_\infty \geq E(\max_j |g_j|) \sim \sqrt{\log n}$.

To prove the converse inequality, let $A = [\left\| \sum g_k(\omega) P_k \right\|_\infty > \alpha]$, where α will be chosen later. Then

$$\begin{aligned} \mathbf{E} \left\| \sum g_k P_k \right\|_\infty &\leq \alpha + \int_A \left\| \sum g_k P_k \right\|_\infty \mathcal{P}(d\omega) \\ &\leq \alpha + \sum \int_A |g_k(\omega)| \mathcal{P}(d\omega) \leq \alpha + (2n + 1) \sqrt{\mathcal{P}(A)}. \end{aligned}$$

Let $t_k = k\pi/4n$ ($k = 0, \pm 1, \dots, \pm 4n$). By theorem 7.28 [25] there exists $c_1 > 0$ (independent of n) for which $\|P\|_{H_\infty^{2n+1}} \leq c_1 \max_k |P(t_k)|$ for every $P \in H_\infty^{2n+1}$. Therefore

$$\begin{aligned} \mathcal{P}(A) &\leq \mathcal{P}\left(\left[\max_i \left|\sum_{k=-n}^n g_k(\omega)P_k(t_i)\right| > \alpha/c_1\right]\right) \\ &\leq 10n \max_i \mathcal{P}\left(\left[\left|\sum_{k=-n}^n g_k(\omega)P_k(t_i)\right| > \alpha/c_1\right]\right) \\ &\leq 10n \max_i \mathcal{P}\left(\left[\left|\sum_{k=-n}^n g_k(\omega)P_k(t)\right| > \alpha/c_1\right]\right). \end{aligned}$$

Due to the symmetry of the expression in the intervals

$$I_k = \left[\frac{(2k-1)\pi}{2n+1}, \frac{(2k+1)\pi}{2n+1}\right] \quad (k = 0, \pm 1, \dots, \pm n)$$

the maximum is achieved at $t_0 \in I_0$. Using the identity

$$P_k(t) = P_0\left(t - \frac{2\pi k}{2n+1}\right) = \frac{(-1)^k \sin\left(n + \frac{1}{2}\right)t}{(2n+1)\sin\left(\frac{t}{2} - \frac{k\pi}{2n+1}\right)}$$

it follows that $|P_0(t)| \leq 1$ and $|P_k(t)| \leq c_2/|k|$ for all $1 \leq |k| \leq n$ and $t \in I_0$, hence by the contraction principle

$$\begin{aligned} \mathcal{P}\left(\left[\left|\sum_{k=-n}^n g_k(\omega)P_k(t_0)\right| > \alpha/c_1\right]\right) &\leq \mathcal{P}\left(\left[\left|g_0(\omega) + \sum_{1 \leq |k| \leq n} \frac{g_k(\omega)}{k}\right| > \alpha/c_1 c_2\right]\right) \\ &\leq \mathcal{P}(|g_0(\omega)| > \alpha/2c_1 c_2) + \mathcal{P}\left(\left[\left|\sum_{1 \leq |k| \leq n} \frac{g_k(\omega)}{k}\right| > \alpha/2c_1 c_2\right]\right). \end{aligned}$$

By Tchebychev's inequality

$$\mathcal{P}\left(\left[\left|\sum_{1 \leq |k| \leq n} \frac{g_k}{k}\right| > c_3 \alpha\right]\right) \leq 2e^{-c_4 \alpha^2 / \sum 1/k^2} \leq 2e^{-c_5 \alpha^2}$$

and so

$$\mathcal{P}(A) \leq c_6 n e^{-c_7 \alpha^2}.$$

Therefore, $(2n+1)^2 \mathcal{P}(A) \leq c_6 (2n+1)^2 n e^{-c_7 \alpha^2}$ which shall tend to zero if we choose $\alpha = 2c_7^{-1/2} \sqrt{\log(n+1)}$. This completes the proof of $l(I_{2,\infty}^{(n)}) \sim \sqrt{n \log n}$.

Since $(H_\infty^n)^*$ is identified with $L_1(\mathbf{T})/M_n$, therefore

$$l(I_{2,\infty}^{(n)^*}) = \left(\mathbf{E} \left\| \sum g_k e^{ikx} \right\|_{(H_\infty^n)^*}^2 \right)^{1/2} \leq l(I_{2,1}^{(n)}) \sim \sqrt{n}.$$

On the other hand it follows from the boundedness of the natural operator $R_n : L_1(\mathbf{T})/M_n \rightarrow L_{1/2}(\mathbf{T})$ and Kahane's inequality that

$$\begin{aligned} l(I_{2,\infty}^{(n)^*}) &\sim \mathbf{E} \left\| \sum_1^n g_k e^{ikx} \right\|_{(H_\infty^n)^*} \geq c \mathbf{E} \left\| \sum_1^n g_k e^{ikx} \right\|_{H_{1/2}^n} \\ &\sim \left(\mathbf{E} \left\| \sum_1^n g_k e^{ikx} \right\|_{H_{1/2}^n}^{1/2} \right)^2 = \left(\int_{\mathbf{T}} \mathbf{E} \left| \sum g_k e^{ikx} \right|^2 dm \right)^{1/2} \\ &\sim \left(\int_{\mathbf{T}} \left(\mathbf{E} \left| \sum g_k e^{ikx} \right|^2 \right)^{1/4} dm \right)^2 = \sqrt{n}. \end{aligned} \quad \square$$

If $\{x_i\}_1^n$ is a finite sequence of vectors in a Banach space X , we denote $\varepsilon_2(x_i) = \sup(\|\sum t_i x_i\| / (\sum |t_i|^2)^{1/2})$, which is also the norm of the map $l_2^n \rightarrow X$ induced by $e_i \rightarrow x_i$.

We shall need the following theorem proved in [1].

THEOREM 3.3. *Let E, F, G be Banach spaces, $F \subseteq G$, and suppose $\{e_i, e_i^*\}_{i=1}^n$ is a basis with associated coefficient functionals for E , and $\{f_j, f_j^*\}_{j=1}^m$ is a biorthogonal sequence in G where $\{f_j\}_{j=1}^m \subset F$ and $m \geq n$. Then*

$$\begin{aligned} \mathcal{F}(E, F, G) &\leq cm^{-1} \left\{ \varepsilon_2(e_i^*) \mathbf{E}_\omega \left\| \sum_{i=1}^n g_i(\omega) f_i \right\| + \varepsilon_2(f_j) \mathbf{E}_\omega \left\| \sum_{i=1}^n g_i(\omega) e_i^* \right\| \right\} \\ &\cdot \left\{ \varepsilon_2(e_i) \mathbf{E}_\omega \left\| \sum_{j=1}^m g_j(\omega) f_j^* \right\| + \varepsilon_2(f_j^*) \mathbf{E}_\omega \left\| \sum_{i=1}^n g_i(\omega) e_i \right\| \right\}. \end{aligned}$$

THEOREM 3.4. *For every Banach space X, Y for which $H_\infty^n \subseteq X \subseteq L_\infty, H_1^n \subseteq Y \subseteq L_1$, and every $1 < p < \infty$*

- (i) $\mathcal{F}(H_p^n, H_\infty^n, X) \sim d(H_p^n, H_\infty^n) \sim \min\{n^{1/p}, n^{1/2}\}$,
- (ii) $\mathcal{F}(H_p^n, H_1^n, Y) \sim d(H_p^n, H_1^n) \sim \min\{n^{1/2}, n^{1-1/p}\}$.

PROOF. (i) We factor the identity on H_p^n as follows:

$$H_p^n \xrightarrow{I_{p,\infty}^{(n)}} H_\infty^n \xrightarrow{j} X \xrightarrow{I} L_p \xrightarrow{P_p^{(n)}} H_p^n$$

where j is the inclusion, I is the restriction to X of the injection $L_\infty \rightarrow L_p$. Using the estimates of Lemma 3.1

$$d(H_p^n, H_\infty^n) \leq \mathcal{F}(H_p^n, H_\infty^n, X) \leq \|I_{p,\infty}^{(n)}\| \|j\| \|I\| \|P_p^{(n)}\| \leq c_p n^{1/p}.$$

Conversely, if we denote by $\alpha_p(E)$ ($\beta_p(E)$) the type p (cotype p) constants of a Banach space E , then using the facts that H_x^n contains $l_x^{[n/2]}$ uniformly, and that L_p has cotype p if $p \geq 2$, it follows that $d(H_p^n, H_x^n) \geq \beta_p(H_x^n)/\beta_p(H_p^n) \geq c_p \beta_p(l_x^{[n/2]}) \sim n^{1/p}$. Thus (i) is proved for $p \geq 2$.

Let $1 < p \leq 2$ and $\{e^{ikt}, e^{-ikt}\}_{k=1}^n$ be the basis, and biorthogonal sequence, in the spaces H_p^n and X respectively. Applying the estimates of Lemmas 3.1 and 3.2 we get

$$\begin{aligned} \varepsilon_2(\{e^{ikt}\} \subset H_p^n) &= \|I_{2,p}^{(n)}\| = 1, \\ \varepsilon_2(\{e^{ikt}\} \subset (H_p^n)^*) &= \|I_{p,2}^{(n)}\| \sim n^{1/p-1/2}, \\ \varepsilon_2(\{e^{ikt}\} \subset H_x^n) &= \|I_{2,x}^{(n)}\| \sim \sqrt{n}, \\ \varepsilon_2(\{e^{ikt}\} \subset X^*) &\leq \varepsilon_2(\{e^{ikt}\} \subset L_1) = \|I_{2,1}^{(n)}\| = 1, \end{aligned}$$

$$\mathbf{E} \left\| \sum g_k e^{ikt} \right\|_{H_p^n} \sim \mathbf{E} \left\| \sum g_k e^{ikt} \right\|_{(H_p^n)^*} \sim \sqrt{n},$$

and

$$\mathbf{E} \left\| \sum g_k e^{ikt} \right\|_{H_x^n} \sim \sqrt{n \log n},$$

$$\mathbf{E} \left\| \sum g_k e^{ikt} \right\|_{X^*} \leq \mathbf{E} \left\| \sum g_k e^{ikt} \right\|_{L_1} \sim \sqrt{n},$$

so on using Theorem 3.3 we get

$$\mathcal{F}(H_p^n, H_x^n, X) \leq c_p \sqrt{n}.$$

Since H_x^n contains $l_x^{[n/2]}$ uniformly, using the fact that L_p has cotype 2 if $1 \leq p \leq 2$, the lower estimate follows from

$$(9) \quad \mathcal{F}(H_p^n, H_x^n, X) \geq d(H_p^n, H_x^n) \geq c_p \beta_2(H_x^n) \geq c_p \beta_2(l_x^{[n/2]}) \sim \sqrt{n}.$$

(ii) If $1 < p \leq 2$, consider the factorization of H_p^n

$$H_p^n \xrightarrow{I_{p,1}^{(n)}} H_1^n \xrightarrow{j} Y \xrightarrow{R|_Y} H_p^n$$

where j is the inclusion and R is the operator from L_1 to H_p^n defined by

$$R(f) = \frac{1}{2\pi} \sum e^{ikt} \int_0^{2\pi} f(s) e^{-iks} ds.$$

Identifying $(H_p^n)^*$ with H_p^n . ($1/p + 1/p^* = 1$), we obtain

$$\|R\| = \|R^*\| \leq c_p \|I_{p^*,z}^{(n)}\| \sim n^{1/p^*}$$

so $d(H_p^n, H_1^n) \leq \mathcal{F}(H_p^n, H_1^n, Y) \leq c_p n^{1/p^*}$.

Conversely, since H_1^n contains $l_1^{\lfloor n/2 \rfloor}$ uniformly and L_p has type p if $1 < p \leq 2$, it follows that

$$d(H_p^n, H_1^n) \geq \alpha_p(H_1^n)/\alpha_p(H_p^n) \geq c_p \alpha_p(l_1^{\lfloor n/2 \rfloor}) \geq c_p \lfloor n/2 \rfloor^{1/p^*}.$$

If $2 \leq p < \infty$ we apply the estimates of Lemmas 3.2 and 3.3 together with Theorem 3.4 to get in the same manner as in (i) the inequality $\mathcal{F}(H_p^n, H_1^n, Y) \leq c_p \sqrt{n}$. On the other hand, since H_1^n contains a uniformly complemented subspace of dimension $\lfloor \gamma n \rfloor = m$ uniformly isomorphic to l_1^m (for $0 < \gamma < 1$ independent of n), therefore $(H_1^n)^*$ contains l_∞^m uniformly, and so identifying $(H_p^n)^*$ with a subspace of L_{p^*} and using the fact that every operator from l_∞^m to L_{p^*} is 2-summing, we obtain

$$\begin{aligned} d(H_1^n, H_p^n) &= d((H_1^n)^*, (H_p^n)^*) \\ &\geq c_p \inf\{d(Z, l_\infty^m); Z \subset L_{p^*}, \dim Z = m\} \\ &\geq cc_p \inf\{\pi_2(Z); Z \subset L_{p^*}, \dim Z = m\} \\ &\sim \sqrt{n} \end{aligned}$$

since $\pi_2(Z) = \sqrt{\dim Z}$ for every Banach space Z [6]. □

THEOREM 3.5. *If $\{p, q\} = \{1, \infty\}$ and X_q is any space satisfying $H_q^n \subseteq X_q \subseteq L_q$, then*

$$c \sqrt{n} \leq d(H_1^n, H_q^n) \leq \mathcal{F}(H_p^n, H_q^n, X_q) \leq d \sqrt{n \log n}$$

for all integers $n \geq 2$.

PROOF. The lower estimate follows from inequality (9) above. The upper estimate follows from using the estimates of Lemmas 3.1 and 3.2 together with Theorem 3.3. □

REMARKS. (1) It is unknown whether any of the inequalities in Theorem 3.5 is sharp.

If however the dimension of H_q^n is increased to $a \cdot n$ in Theorem 3.5 then $\mathcal{F}(H_p^n, H_q^{an}) \sim \sqrt{n}$, where $2 \leq a < \infty$ is independent of n . Indeed H_∞^{2n} contains l_∞^n uniformly complemented, hence it suffices to prove $\sqrt{n} \geq d(l_\infty^n, H_1^n)$. But if $T : H_1^n \rightarrow l_\infty^n$ is the map defined by $T(e^{ik}) = e_k$ ($1 \leq k \leq n$), then $\|T\| = \|T^*\| = 1$ and

$$\begin{aligned} \|T^{-1}\| &= \|T^{-1'}\| = \sup \left(\sum_1^n |t_k| / \left\| \sum_1^n t_k e^{ikt} \right\|_{(H_1^n)'} \right) \\ &\leq \sup \left(\sum |t_k| / \sqrt{\sum |t_k|^2} \right) = \sqrt{n}. \end{aligned}$$

Similarly H_1^{an} contains l_1^n uniformly complemented for some $\infty > a \geq 2$ independent of n , hence it suffices to prove $d(l_1^n, H_\infty^n) \leq \sqrt{n}$ to imply that $\mathcal{F}(H_\infty^n, H_1^{an}) \leq c \sqrt{n}$. But the proof is identical for this case too. The facts that $\mathcal{F}(H_p^n, H_q^{an}) \leq c \sqrt{n}$ if $\{p, q\} = \{1, \infty\}$ are proved as in Theorems 3.4 and 3.5.

(2) It is easy to see that

$$c_1 \sqrt{\log n} \leq d(H_1^n, l_1^n) \leq c_2 \log n.$$

It would be interesting to know the exact values for this quantity.

§4. A remark on absolutely summing operators from H_∞

In this section, which is not directly connected with the preceding sections, we bring an observation which answers problem 3.2 in [18].

Theorem 2.4 in [18] asserts that for $1 < p < \infty$ every p -absolutely summing operator from A is strictly p -integral and there is a constant C_p such that

$$i_p(T) \leq C_p \pi_p(T)$$

for all such T . Problem 3.2 asks whether every p -a.s. T from H_∞ is p -integral.

PROPOSITION 4.1. *For every Banach space E and $T \in \pi_p(H_\infty, E)$ ($1 < p < \infty$) T is p -integral and*

$$i_p(T) \leq C_p \pi_p(T).$$

(C_p is the same constant as above.)

PROOF. For Banach spaces E, F and $T : E \rightarrow F$ a linear operator, we define $(i_p/\pi_p)(T)$ to be

$$\frac{i_p}{\pi_p}(T) = \sup i_p(ST);$$

the sup is taken over all Banach spaces G and operators $S : F \rightarrow G$ with $\pi_p(S) \leq 1$. i_p and π_p are perfect ideal norms, also π_p is semi-tensorial (see [22] for definition) hence by [22] proposition 2.7 we conclude that i_p/π_p is a perfect ideal norm.

From [20] it follows now that $i_p/\pi_p = (i_p/\pi_p)''$, i.e. $(i_p/\pi_p)(T) = (i_p/\pi_p)(T^{**})$ for all operators $T: E \rightarrow F$.

H_x is a 1-complemented subspace of A^{**} ; it is enough, therefore, to show that

$$\frac{i_p}{\pi_p}(\text{Id}_{A^{**}}) \leq C_p.$$

By theorem 2.4 [18] we have

$$\frac{i_p}{\pi_p}(\text{Id}_A) \leq C_p$$

hence

$$\frac{i_p}{\pi_p}(\text{Id}_{A^{**}}) = \frac{i_p}{\pi_p}(\text{Id}_{A^{**}}) = \left(\frac{i_p}{\pi_p}\right)''(\text{Id}_A) = \frac{i_p}{\pi_p}(\text{Id}_A) \leq C_p. \quad \square$$

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